

-8-

ED. FEB. 6 1935

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69 29506012014
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HUR. FEB. 7 1935

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4442 N.
40403 6.30 h.
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HUR. FEB. 14 1935

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12-23.
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FRI. FEB. 8 1935

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TUES. FEB. 19 1935

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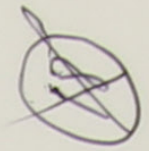
MON. MAR. 13 1935

Annunciation. Lady Day

(17)

101

MON. FEB. 4 1935 50-2. 94704 66	WED. FEB. 6 1935 69-6501 1472770-607423 69 29506012074 6815004355 94808 71	FRI. FEB. 8 1935 54902 73	SUN.
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TUES. FEB. 12 1935 50154444 N 14 44705 14903 75 74	THUR. FEB. 14 1935 15017 4444 N 12-023 12300-102	SAT. FEB. 16 1935 10442 Day 85030714 12325	MON.
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WED. FEB. 20 1935

FRI. FEB. 22 1935

SUN. FEB. 24 1935
Sevagatima. S. Matthias

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THUR. FEB. 21 1935

SAT. FEB. 23 1935

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MON. FEB. 25 1935

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WED. FEB. 26 1935

THUR. FEB. 28 1935

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FRI. FEB. 29 1935

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SAT. MAR. 2 1935

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SUN. MAR. 3 1935

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MON. MAR. 4 1935

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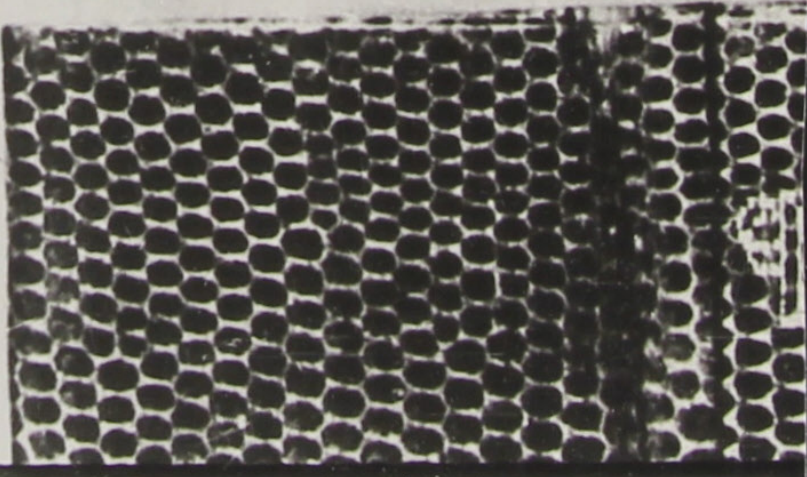
14

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19
164

-6-

1935



DIARY

(6)

19

105

COPY. Original filed in P.F.42988. EWERT. 31a.

579

PF.42988/DS8.

19th February 1936.

Dear V.V.,

I attach a copy of a letter with enclosures which we have received from the Americans.

As they seem to deal entirely with cyphers there is no need for you to return the latter, although of course we should be interested to know if there is any solution and also whether the Americans may be told anything about the matter.

Yours sincerely,

G.M.L.

Majo V. Vivian, C.B.E.,
S.I.S.

S.I. Form O.6.

EXTRACT.

Relating to WALDEN.

Extracted from ..P.F.. 42988 EWERT..... No.....30a.....

Author of original .U.S.. Embassy..... Place and date of origin .17.2.36.....

Extract made byS.D..... on (date) .20.2.36.....

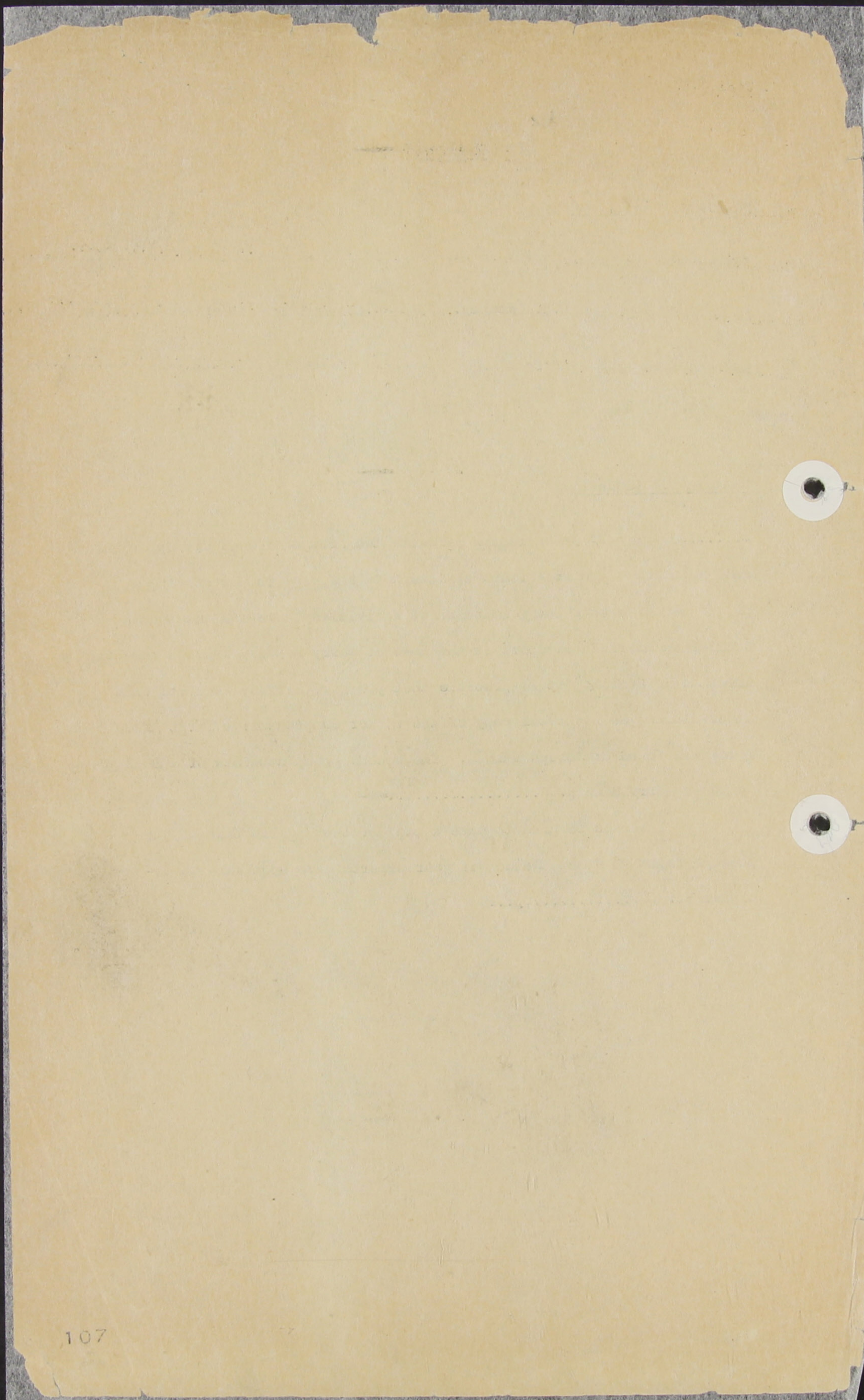
Captain G.M.Liddell

.....I am enclosing herewith two groups of what are apparently code messages which were found on Joseph WALDEN, who is now serving a prison sentence in China for Communist activities there and the person bearing an American passport in the name of Harry BERGER, who was recently arrested in Brazil. It is probable that you have already received from other sources an identical copy of the rather comprehensive first group which was found on Joseph WALDEN. The second group consists of a message found on Harry BERGER.

I should be glad to have a copy of the English text of the message found on Joseph Walden if your experts have been able to decipher it.

R.3527.

✓
S.D.
(20/2/36)



55a

S.9.

Mr. Borum, the American Embassy, rang up to say that on page 11 of the "Evening Standard" of 10.2.36, probably the lunch edition as we cannot trace the extract, there was a statement to the effect that BRINSON, the American, had been sentenced to 6 months imprisonment and fined for the part he played in the attempt to rescue RIVOSCH.

Copy in
R.F. 150/139

We shall presumably be getting information about this from S.I.S. in due course.

S.8.
12.2.36.

Ch. L.

R₂

Have we by any chance
heard of this person? (No. S.D.)

W.D.L.
13/2/36

PA for the present
but please B.F. in 1 month ✓
if we haven't heard anything
further by then

Again in 1 month ✓
W.D.L.
14/2/36

W.D.L.
14/2/36

{ S.D. ✓
{ 13. 2. 36

Secret.

In reply, state NUMBER and DATE.

dated 30.1.36.

M.I.5. (Captain Liddell)Communist Activities in Shanghai.

With reference to your PF.44255, dated 14.10.35., we have received

(1) The J.H. RABBINOWITZ, mentioned in the "Walden" case, is a German lawyer, born at Balensted on 12.5.1886. of Jewish parents, who arrived in Shanghai for the first time two years ago. (This confirms his identity with Julius H. RABBINOWITZ, vide our [redacted] of 27.1.36.) J.C. RABINOWITZ (the Jacob or Jack Rabinowitz of your letter), on the other hand, was born in New York on 4.1.1900 and was in Shanghai between April 1921 and May 1922 and, again, between November 1927 and December 1928.

(2) The spelling of the name BAERENSPRUNY was a typing error and should have read BAERENSPRUNG. He is identical with the Horst BAERENSPRUNG described in your letter.

(3) The individual of the name of SHRIRO, mentioned in the "Walden" case, has no connexion with SHRIRO Brothers of Harbin.

R.D.T.

for V.V.

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RECORDS SECTION
NOT FOR

31

Secret.

53A

In reply, state NUMBER and DATE.

dated 30.1.36.

M.I.5. (Captain Liddell)

With reference to your PF.44255, dated 10th December, 1935, attached hereto are the fingerprints of the Communist arrested in Shanghai last May, going under the name of Joseph WALDEN alias Maxims RIVOSS.

E.S.T.

for V.V.

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✓
DM
31.1.36

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OF THE ORIGINAL DOCUMENT

Form 13.
G. 60m-10-33

53A

SHANGHAI MUNICIPAL POLICE.

FINGER PRINT RECORD.

No.

Classification

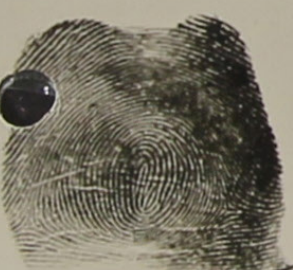
RIGHT HAND. 右手

1.—Right Thumb 右拇指	2.—R. Fore Finger 右二指	3.—R. Middle Finger 右中指	4.—R. Ring Finger 右四指	5.—R. Little Finger 右小指
				
[FOLD.]				[FOLD.]

Impressions to be so taken that the flexure of the last joint shall be immediately above the black line marked (Fold). If the impression of any digit be defective a second print may be taken in the vacant space above it.

When a digit is missing or so injured that the impression cannot be obtained, or is deformed and yields a bad print, the nature of the injury should be noted in the space provided for that digit and "healed" or "unhealed" specified.

LEFT HAND. 左手

6.—Left Thumb 左拇指	7.—L. Fore Finger 左二指	8.—L. Middle Finger 左中指	9.—L. Ring Finger 左四指	10.—L. Little Finger 左小指
				
[FOLD.]				[FOLD.]

LEFT HAND. 左手

Dabbed Impressions of Four Fingers Taken Simultaneously.

RIGHT HAND. 右手

Dabbed Impressions of Four Fingers Taken Simultaneously.

IMPORTANT. No prisoner other than the prisoner whose finger prints are being taken should be present while that operation is being done. (1) The Identification Record should first be filled in and the prisoner's left thumb print immediately placed thereon: (2) the finger prints should then be taken on the form provided and (3) the name of the person taking the impressions, the date and Station, should be noted in spaces provided at the foot of the finger print record which, if in order, should be signed by the officer on duty.

TO BE FILLED IN AT STATION.	Impressions taken by	FOR P. O. USE ONLY	Date received
	Date		Classified by
	Where taken		Checked by
	Officer on duty		Passed Correct

Name WALDEN, Joseph

Name in Chinese characters.....

Alias Rivoss, Marcus

Alias in Chinese characters.....

Age about 40 Height about 5'8"

Trade or calling Teacher of languages ^{*}(?)

Country or Province.....(?)

Last known address 169 Yuan Ming Yuan Rd (office)
1986 Avenue Joffre (home)

-X speaks German, English,
French & Russian.

Photograph No.

B. R. No.

CRIMINAL RECORD

M. O. No.

ALL CORRESPONDENCE TO BE
ADDRESSED TO:-

THE COMMISSIONER,
R.C.M. POLICE,
OTTAWA.

*Royal Canadian Mounted Police,
Office of the Commissioner.*

Ref. No.

Ottawa,

Your Ref. No. PF.44255/D.S.9.a

December 4th, 1935.

SECRET

Dear Sir Vernon Kell:-

1. Thank you for your letter of November 14th last^{44^A} regarding P. N. Ney recently of Shanghai, China.
2. We regret that we have no further information to offer in connection with this individual who did not come to our notice as being interested in Communist activity during the period he remained in this country and have no record of his having used the name of Puisel during his stay in Canada.
3. This subject's full and proper name is Peter Noterson. ~~He~~^{REGARDING} he should not previously have been referred to as Belid.
11 MAR 1939

Yours sincerely,

J. H. MacBrien
(J. H. MacBrien)
Commissioner

Colonel Sir V.G.W. Kell, K.B.E., C.B.,
Box No. 500,
Parliament St., B.O.,
LONDON, S.W.1., England.

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OFFICE OF THE
RECORDS
1950

51a
19.12.35.

Copy to M.I.V. S.8.

Captain Liddell.
[Signature]

My dear V.,

Please refer to your dated 11.10.35., on the subject of Communist Activities in Shanghai and the case of the unidentified foreign Communist, with special reference to the footnote addressed to me, regarding Mademoiselle Denise GHISLAIN and A YOVISHOV.

I am now informed by the Indian authorities that, at the instance of Shanghai, inquiries were made regarding Denise GHISLAIN and A. YOVISHOV, and it was ascertained that both these individuals had passed through Bombay and Karachi in transit for Hongkong. There is no record of their having landed at Bombay while the s.s. "Col-di-Lana" was there from the 16th to 18th April, 1934, or at Karachi from 21st to 25th of the same month. Such records are not usually kept. A. YOVISHOV had embarked at Trieste on 29.3.34., and Denise GHISLAIN at Venice on 30.3.34.,: both disembarked at Shanghai.

No further information is available regarding these individuals except that Denise GHISLAIN is the holder of Uruguayan passport, No. 4702 issued at New York on 22.1.34.

This information has been passed direct by the Indian authorities to Shanghai.

Yours sincerely,

J. P. I.

DVW.

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ACT 1958

Secret.

In reply, state NUMBER and DATE.

dated 18.12.35.

M.I.5. (Captain Liddell)Communist Activities in Shanghai.
The unidentified foreign Communist.

In continuation of correspondence on the above subject, we have received the following information, which may be of some slight interest to you:

(1) Reference para. (3) of our ^{Pf 70,124} dated 11.10.35.: On the 24th October 1935, [Brian E. NAIDIS] appeared before the Wuchang Lower Court charged with bribery under Art. 122 Section 3 of the Chinese Criminal Code. On the 31st October, 1935, NAIDIS again appeared before the Court and the following decision was handed down: "Guilty, Sentenced to two years and two months imprisonment".

No date has yet been set by the American Judicial authorities for the trial of the other two accused, [LEMCKE] ^{Pf. 734, 862.} and [BRINSON] ^{Pf. 150, 139.}

Charles De SORIO, ^{RECORDED} an associate of LEMCKE, who was under suspicion in connexion with this case, has left for the U.S.A. ^{8 JUN 1941}

(2) Reference note (3) on page 2 of our dated 23.10.35.: Rudolf PASCHE left China for Raboul, New Guinea, on the 13th November, 1935. In view of this man's connexion with this case, slight though it undoubtedly is,

you may wish to inform the Australian authorities.

(3) Reference para. (4) of our dated 30.9.35.: Enquiries made at Harvard University have elicited the statement that the records of Harvard alumni do not show that any man by the name of TOONG WEI-JIEN has ever attended any department of that University as a regular student. Search was also made under the names TONG, JEN and CHEN, but no record was found. Several men of the name of CHEN have entered Harvard, but this name has never been coupled with that of TONG or TOONG.

Copy to I.P.I.

R.D.T.
for V.V.

From P.I.
to Australia
Enquiries
SF. 411/China

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49a

PF. 44255/D.S. 9. a.

10th December, 1935.

Dear V.V.,

With reference to the case of the unidentified Communist arrested in Shanghai last May, would it be possible to obtain the fingerprints of this man?

The Americans in particular are anxious to have these to facilitate further enquiries as to his identity.

Yours sincerely,

(80) G. W. L.

KS.W.
Major V. Vivian, C.B.E.,
S.I.S.

✓
12/12/35

115

48a

EMBASSY OF THE
UNITED STATES OF AMERICA

London, November 27, 1935.

Dear Liddell:

Reference is made to your letters dated July 8 and October 5, 1935 (File Nos. 411/China/ *Sga 13/11* D. S. 9. a. and 44255/D. S. 9. a.), in regard to the Soviet agent known as Joseph Walden, who is now imprisoned in China and whose real identity is unknown.

So far efforts to identify the prisoner from the photographs submitted with your letter of July 8th have been fruitless. In the event specimens of his fingerprints are available, it would be appreciated if copies might be forwarded in order that further inquiries may be made.

Sincerely yours,

Captain G. M. Liddell,

Box 500, Parliament Street, B.O.,

London, S. W. 1.

THE NATIONAL ARCHIVES
COLLECTION OF THE
UNITED STATES OF AMERICA



470a

PF.44255/D.S.9.a.

16th November, 1935.

Dear V.V.,

Will you please refer to my PF.44255/D.S.9.a. of 4.10.35. regarding P.N.NEY.

We have now received the following item of information from the Canadians:-

"Further to our letter of September 21st regarding P.N.Ney Belid, this man's application for naturalisation discloses that he left Riga on November 10th, 1924, for Montreal via London and Southampton. He later resided in Winnipeg and Toronto where he worked as a painter.

"He has not previously come to our attention in connection with the Communist Movement."

We are asking the Canadians for an explanation of the inclusion of the name 'Belid' and will let you know when we have their reply.

Yours sincerely,

Major V.Vivian, C.B.E.,
S.I.S.

✓
18/11/35

117

SECRET.

46a

PF.44255/D.S.9.a.

I.P.I.

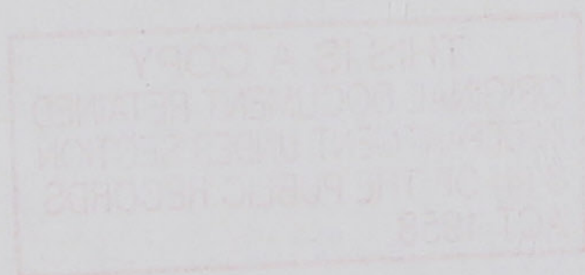
I enclose herewith copies of photographs
in connection with S.I.S. report _____ of
14.11.35., copy of which I understand has been
passed to you.

1284

(50) G.H.L

15th November, 1935.

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45a

PF.44255/D.S.9.a.

15th November, 1935.

Dear V.V.,

With reference to your of 14.11.35.
regarding Communist Activities in China, I enclose
herewith as requested, the originals and three copies
of the photographs forwarded. Copies have also been
sent to I.P.I.

We have no record of any of the individual
mentioned.

~~S~~
Yours sincerely,

(S) G.H.L.

XSD
Major V.Vivian, C.B.E.,
S.I.S.

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SECTION
JAN 1968

PF. 44255/D.S. 9. a.

14th November, 1935.

Dear General MacBrien,

Very many thanks for your letter
of 30.10.35. regarding P.N. Ney Belid.

I am informed that this man, who has now left
Shanghai, uses the alias Louis PUISSEL. If you have
any record of him under this name I should be interested
to hear.

I should also be glad if you would let me know
whether his correct name is NEY or BELID. He was first
reported to me as NEY but I notice that in both your
letters, dated 21.9.35. and 30.10.35., he is referred
to as P.N. Ney Belid.

Yours sincerely,

(80) G. H. L.

for Colonel Sir Vernon Kell.

Major General Sir J. H. MacBrien,
K.C.B., C.M.G., D.S.O.,
Commissioner of Police,
Royal Canadian Mounted Police,
OTTAWA.

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Attached to serial 43b

121



212i

Kv2/2963

122

fourche

Kv2/2963

123

murou/cab

Kv2/2963

124



Trifinon

KV 2/2963
125

Form C/3—Sept., 1931.

Secret.

In reply, state NUMBER and DATE.

dated 14.11.35.

M.I.5. (Captain Liddell)Communist Activities in China.
The unidentified foreign Communist.

In continuation of correspondence on the above subject and with special reference to our _____ of 23.10.35., _____ has now sent us the enclosed photographs of

- (a) ^{P.P. 150, 151.} [Eugene BRINSON]
- (b) Carl Julian LEMCKE.
- (c) N.L. ITKIN (vide para.(4) of letter of 23.10.35.).
- (d) ~~M.N. MUROMISEV~~, or ~~MUROTSEV~~ (vide para.(8) of letter of 23.10.35.).
- (e) Two photographs of TROFIMOV (vide para.(4) of letter of 23.10.35.).

We should be grateful if you could have these photographs reproduced and send us three copies of each. I.P.I. would also doubtless like a copy of each.

(2) With regard to P.N. NEY (vide our _____ of 19.9.35.), it appears that this man uses the alias Louis PUISEL, as it has been established that he has been in receipt of letters addressed in this name from a certain individual named LEE, from 135 Embarcadero Road, Palo Alto.

(3) _____ has also established that the full name of TROFIMOV is Lev Constantinovich TROFIMOV, whose particulars are given as follows:

Born at Kamishinsk in the Province of Saratov on 16.8.87; father was director of the Russian Trade and Industry Bank and he himself was educated in the Electro-Technical Institute in Petrograd. In 1910 he was exiled to the Province of Irkutsk in connexion with a student organisation of the city of Kharkov, but continued his studies there in the University of Mathematics. In 1913 he was granted an amnesty and registered with the Association of Commons of Irkutsk, where he continued to reside giving lessons in mathematics. During the Great War, when difficulties arose in connexion with procuring food supplies, he had charge of the Food Supply Department as a member of the Board of Irkutsk Co-operative Society known as the "Co-operator". When the Revolution took place he was elected Chairman of the Irkutsk District Supply Committee. In 1917 he was delegated to Harbin for making food supply purchases and remained there upon being elected Chairman of the Manchurian United Supply Office. When the Omsk Government came into power he was appointed Representative of the Minister of Supplies of the Government. After this

/he

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43b

-2-

he obtained a position with the Moscow Union of Co-operative Societies (Centrosoyuz) in charge of the Harbin Branch. He was dismissed about 1920 when the "Zakupsbit" and the "Centrosoyuz" amalgamated and both the companies were taken possession of by the Bolsheviks.

About 1925, being a specialist in oil business, he obtained employment with the "Neftsyndikat" and, at the same time, made application for Soviet citizenship. He was issued with a passport of the U.S.S.R. only at the beginning of 1929, which was already after he had been dismissed from the "Neftsyndikat" (about January 1929). For approximately one year he had no fixed occupation, but at the beginning of 1930 he became employed as Manager of the Far Eastern Technical and Chemical Works. On this concern being liquidated and a short while afterwards, he obtained employment in the Chinese Eastern Railway in the Statistical Department of the Traction Service. He remained in the employ of the Chinese Eastern Railway until 1932 when he organised, in company with Mr. S.B. SUBBOTOVSKI, who now resides at Rue Cardinal Mercier, Shanghai, a motor car concern, at first as a participant of 33% and later of 40% of the returns. The name of the firm was "Manchuria Trading Company" and it dealt with the sale of used motor cars. At the end of November 1934 he arrived in Shanghai in an effort to organise some kind of commercial business.

In about 1922 (sic) he joined in partnership the firm of "British Automobile Co.", the owners of which were Messrs. Edwin JUDAH and Joseph Grigorievich PISAREVSKI, both of whom reside in Shanghai.

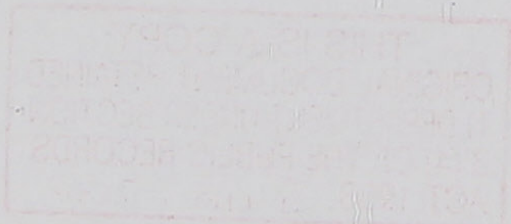
(4) adds that all the persons mentioned above, with the exception of LEMCKE and BRINSON, have left Shanghai, the last departures being those of MUROMTSEV and NEY on 27.9.35.

Copy to I.P.I. (less photographs)

E.D.T.

for V.V.

Copy sent by _____ to
Hongkong, Singapore and India.



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ALL CORRESPONDENCE TO BE
ADDRESSED TO:-

THE COMMISSIONER,
R.C.M. POLICE,
OTTAWA.

*Royal Canadian Mounted Police,
Office of the Commissioner,*

Ref. No. _____

Ottawa,

PF.44255/D.S.9.a

October 30th, 1935.

SECRET

Dear Sir Vernon Kell:-

1. Further to our letter of (October) 21st regarding P. N. Nev. Belid, ^{DECARDED} this man's application for naturalization ^{11 MAR 1936} that he left Riga on November 10th, 1924, for Montreal via London and Southampton. He later resided in Winnipeg and Toronto where he worked as a painter.

2. He has not previously come to our attention in connection with the Communist Movement.

Yours truly,

J. W. Spalding
(J. W. Spalding)
Deputy Commissioner

Colonel Sir V.G.W. Kell, K.B.E., C.B.,
Box No. 500,
Parliament Street, B.O.,
LONDON, S.W.1., England.

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OF THE PUBLIC RECORDS
ACT 1958

Secret.

In reply, state NUMBER and DATE.

dated 8.11.35.

M.I.5. (Captain Liddell)

With reference to your telephone query of 30.10.35. on the question of c [redacted] dealings with the American authorities in Shanghai over the "Walden" Case, we have now received a telegram from [redacted] as [redacted] PF.734,862. [redacted] PF.150,139 [LEMCKE] and [BRINSON] were under arrest by the American authorities and as the latter were extremely reluctant to assist the local Police,

The [redacted] have local American authorities always been reluctant to help in any Communist cases in which American citizens have been involved.

a result of an official enquiry from the State Department regarding the political activities of Americans in Shanghai, the attitude of the local American authorities has now somewhat changed and they have been given, at their own request, summaries of all the information available on the "Walden" case by the Police.

This, I think, will show you how the matter stands.

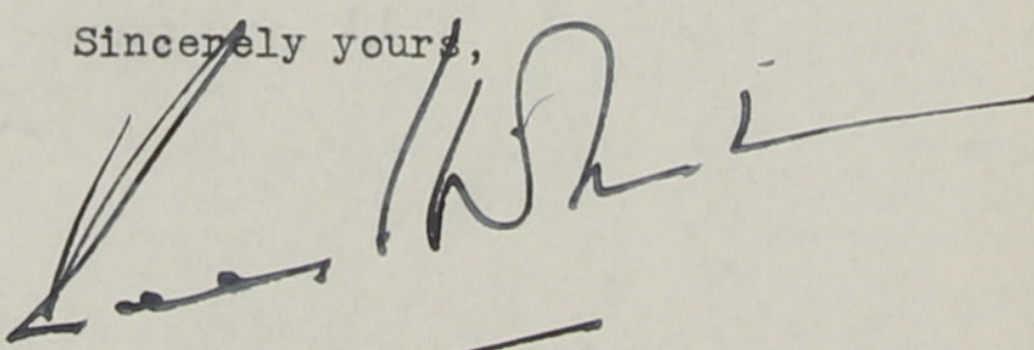
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40a
EMBASSY OF THE
UNITED STATES OF AMERICA

London, October 28, 1935.

Dear Liddell:

With reference to your letter, dated September 12, 1935 (File No. PF.44255/D.S. 9. a.), you are informed that no information is available regarding the connection of Messrs. ^{PF734,862} Carl Lemcke and ^{summary removed 12} Eugene Brinson ^{P.F. 150,139.} with the international communist movement nor is anything known with regard to their activities in the United States. It appears that both men have spent most of their time in recent years in the Far East. Further details concerning them appear in the summaries attached hereto.

Sincerely yours,
Enclosures:
Summaries.

Captain G. M. Liddell,
Box 500, Parliament Street, B.O.,
London, S. W. 1.

EMBASSY OF THE
UNITED STATES OF AMERICA



London, October 23, 1955

Dear Sir:

With reference to your letter, dated August

12, 1955 (File No. 77-4535, P. 2, 3), you are

informed that no information is available regarding

the one known of interest, Carl L. Ladd and Eugene H. Ladd

with the international communist movement nor is any

other known with regard to such activities in the

United States. It is noted that both men were

most of their time in recent years in the United

States. Details concerning their activities in the United

States are being reviewed.

Sincerely yours,

Enclosure:
1 - Copy of letter to you dated August 12, 1955.

Respectfully,
W. L. Sullivan

For Mr. Sullivan, Ambassador, U.S. Embassy, London

London, N. Y.

SUMMARY OF INFORMATION REGARDING
C. J. LEMCKE.

COPY IN PF 734,862 - LEMCKE.

On September 4, 1930, Mr. Julius Carl Lemcke applied for and was issued an American passport No. 311060 on September 6, 1930. At that time he gave his occupation as that of salesman and stated that he desired a passport for a period of two years for business purposes in various countries in the Far East, including China. He stated that he was born in Forrester, Michigan, on August 7, 1885, and that his permanent residence was in Detroit, Michigan. In the latter part of December, 1931, Mr. Lemcke applied for registration at the American Consulate General in Shanghai. In his application he used the name, Julius C. Lemcke, and stated that he was connected with Anderson and Ferroggiaro of Shanghai.

On December 19, 1933, Mr. Lemcke again applied for registration at the American Consulate General in Shanghai. In this application it appears that he transposed his given names and applied in the name of Carl J. Lemcke. The reason therefor does not appear.

JHL

S.I. Form O.f.

39a

EXTRACT.Relating to RIVOSH, Maksin @ WALDEN, Joseph.

Extracted from ..P.F.R..4263.SCHNITTMANN. No..6a.....

Author of original ..U.S..Embassy.... Place and date of origin .28.10.35.....

Extract made byE.S..... on (date)29.10.35.....

X

X

X

X

With reference to your letter, dated September 4, 1935 (File No. 411/China/D.S. 9.a.), concerning Dr. Maksin Rivosh, alias Joseph Walden, it would be appreciated if information might be obtained regarding the activities or suspected purposes of the visit of Mr Leon Schnitman, a Soviet diplomatic courier, who arrived in Shanghai from the Soviet Union on July 19 and departed therefrom for the United States in the latter part of August. An unconfirmed report has been received that his stop-over in Shanghai, while ostensibly due to illness, may really have had some connection with the Rivosh case.

X

X

X

X

R.3527.

132

PF.44255/D.S.9.a.

26th October, 1935.

Dear V.V.,

Will you please refer to your [] of 23.10.35. forwarding the most interesting report on Communist Activities in China.

We have no previous record of the majority of persons mentioned, the following, however, may be of interest:-

ANGARSKI. This man is presumably identical with Inokenti Inovitch ANGARSKI who arrived in Shanghai on 23.4.33. with members of the Soviet Mission. (Your [] of 13.6.33.) In 1934 he was reported (your [] of 9.5.34.) to be attached to the local Soviet Embassy in Shanghai and believed to be in charge of the G.P.U. activities. In this connection, it is interesting to note that in July 1928 the Americans wrote to us stating that they had received an urgent telegram from their Consul in Riga regarding one Innaucenty ANGERSKY ^{SS 1045} and asking whether we had any information.

DECARDED
MYPOUNTSEV. This man is presumably identical with the individual whose name appears on the Chinese Maritime Customs Confidential List of known Communists in China, copy of which was forwarded to you on 23.5.35. under our R.L.451/68/D.S.9.

With regard to the Soviet Representative, KOSSOV, this man's particulars would seem to fit in rather well with those of Fred KOTESKI or KONTETSKI (your [] of 20.12.34.). ^{PF 46661}

I enclose the original and six copies of KOSSOV's photograph. A copy has been sent to I.P.I.

Yours sincerely,

(eo) G. W. L.

Major V. Vivian, C.B.E.,
S.I.S.

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SECRET.

PF. 44255/D.S.9.a.

I.P.I.

I enclose herewith a copy of the
photograph of ^{see} V. KOSSOV in connection with S.I.S.
report of 25.10.35. copy of which I
presume has been passed to you.

(S) G. H. L.

26th October, 1935.

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PK 28/10/35

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OFFICE

Form C/3—Sept., 1931.

Secret.In reply, state NUMBER and DATE. 86

dated 23.10.35.

M.I.5. (Captain Liddell)Communist Activities in China.
The unidentified foreign Communist.

With reference to correspondence on the above subject, and with special reference to our _____ of 11.10.35., _____ the attached copy of a statement made by ^{P: 70,184} [Brian Edward NAIDIS,] who was, with the consent of the Commissioner of Public Safety at Hankow, interviewed by a special source sent to Hankow by _____ for this purpose.

With regard to this statement, _____ which will probably be of interest. (The comments are numbered to coincide with the numbers filled in on the statement)

(1) John O'TOOLE is an American subject and a salesman at the Cathay Motors, Medhurst Road, Shanghai. About the middle of June 1935 he met NAIDIS, who told him that he had a proposition to make. He told O'TOOLE that he required his assistance in rescuing a kidnapped Chinese. The rescue was to take place in Chinese territory and O'TOOLE was offered \$2,500 for his share in the affair. NAIDIS mentioned that LEMCKE ^{P: 34,862} was concerned in the rescue and further stated that one Stanley GALLOP of the Auto Palace had been approached. He then asked O'TOOLE if he were a Special Policeman, or a member of the S.V.C., as it was expected that all would wear uniforms and carry guns. O'TOOLE treated the matter as a joke and turned the proposition down.

(2) ^{DECEASED} Stanley GALLOP, about the end of June 1935 (according to his own statement) received a telephone call at his place of employment from NAIDIS, who made an appointment for that morning, mentioning as a reason for the meeting a business transaction. On meeting, however, NAIDIS explained to GALLOP that he wished to see him with reference to an event he was planning and in which two other persons had already agreed to participate. He, NAIDIS, had been requested by a wealthy Chinese to liberate a relative, who was in the custody of armed kidnappers, and, as one of the kidnappers had passed information to the effect that the victim was to be removed from one place to another, it had been planned forcibly to release him. In replying to the suggestion of GALLOP that the Police be informed, NAIDIS stated that the victim was held in Chinese territory and that members of the Public Safety Bureau were in league with the kidnappers. For this reason the family of the victim were willing to pay a large sum of money to a party of foreigners willing to release him. NAIDIS then asked GALLOP whether he had a Special Police or S.V.C. uniform, also a /pistol

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ACT 1958. June 2008

-2-

pistol, as it was planned to use a motor car to intercept the kid-nappers on their journey. If he had and was willing to take part in the coup, he would be paid \$2,500 for his services. GALLOP turned down the proposition.

(3) Rudolf PASCHE is the manager of Pasche's Motor Service, 112-D, Edinburgh Road, and has in his possession three crated aeroplanes of Italian manufacture. About two months ago he was approached by NAIDIS, who stated that he wanted to take on approval one of his aeroplanes to fly with three passengers to Hankow. This flight was to be in the nature of a trial and, if satisfactory, NAIDIS would purchase the plane. PASCHE, however, refused to sell the plane until he had received the full insurance and at least half the sale price. NAIDIS was unwilling or unable to agree to this, but he took away the plans and specifications and has not since been seen by PASCHE.

(4) Password "You are a good chess player".

that NAIDIS was in close touch with one ITKIN, a Russian, who resided in Ascot Apartments, Bubbling Well Road, and was employed by Centrosojus. This man, it should be noted, suddenly left Shanghai on the 6th July for Vladivostok in the Soviet vessel "Sever". He was suspected of Soviet activities and was intimate with a certain TROFIMOV of the Daisy Apartments, 3 rue Camille Lorioz, who is also suspected of being a Soviet agent. It has been established, further, that TROFIMOV is an excellent chess player and often played against WALDEN as Dr. RIVOSH. NAIDIS admitted that he knew TROFIMOV, who also visited Hankow. It is considered that there is little doubt that the password in question was arranged by TROFIMOV. He is being closely watched and we are promised a photograph of both him and ITKIN as soon as possible. A later report stated that TROFIMOV left his employment as a motor car salesman with China Motors suddenly on 19.9.35. and proceeded by train to Tientsin on the same night.

(Note by S.I.S.: We are unable to identify ITKIN with any certainty. As regards TROFIMOV:

"Our apparatus is not yet (? in working order)..... TROFIMOV and OBUKHOV, who have been in China three years, leave for Moscow". It is possible that this Trofimov is identical with the person now referred to, though, of course, this is not certain.)

(5) 25, Avenue Petain. This is the address of P.N. NEY, vide of 19.9.35. NAIDIS refused to admit that he knew NEY and that this is probably correct as he was merely told to take WALDEN to Ney's address. The latter's association with Soviet suspects is now under enquiry.

(6) Password "Micheal Fedrovitch". It is curious that this password should be identical with the name of an individual, one Mihail Fedorovitch PAVLOV, who was introduced to an informant in December 1934 by one ANGARSKI at 357 Avenue du Roi Albert, as his "successor". ANGARSKI was at that time a high official on the staff of the Soviet Embassy in Shanghai and believed to be the link between the Embassy and the local G.P.U. organisation. PAVLOV, born 1903, suddenly left Shanghai for Vladivostok on 6.7.35.

It is further interesting to note that N.A. TZVETKOV, the owner of the address 357 Avenue du Roi Albert, is a Soviet citizen, who arrived in Shanghai with his wife and child on 27.12.33. in possession of passport No. 119242/23430, issued 29.11.33. in Moscow. He has been employed as sub-manager in the Moscow Narodny Bank Ltd., 180 Kiangse Road, but suddenly left Shanghai on 7.9.35. for Vladivostok in the s.s. "Sever".

/(8) Buick

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p.f. 734862

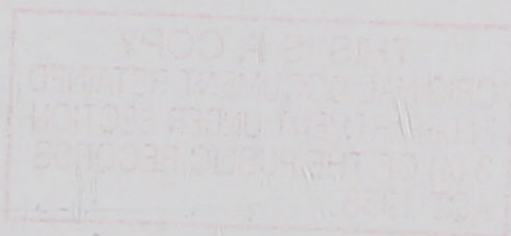
(8) Buick Car. In this connexion please see _____ of 16.9.35. This, and the fact that NAIDIS and his accomplices were instructed to proceed to the Russian Embassy in Peking, definitely links both the Embassy and the local Soviet Consulate General with this case.

(8) Soviet Representative. This man is known to have used the name V. KOSSOV (c.f. KOLOV mentioned by NAIDIS) when he flew to Hankow to meet NAIDIS. He has been living at Greystones Apartments, House 8, Flat 36, until his sudden departure on the s.s. "Sever" on 7.9.35. In the early part of 1933 he was living in Blagovestchensk. He is reported to be identical with a person named NEIMANN, who acted as an agent of the G.P.U. in Harborovsk. (Note by S.I.S.: ~~For information~~ attention is drawn to our _____ of 6.11.33. referring to one B. NEUMANN, but we have nothing definite to identify these two men.) 15 DEC 1944 It is further stated that on the occasion of KOSSOV's visit by air to Hankow he was accompanied by a man named MUROMTSEV, an employee of the Baltic and Black Sea Company. The exact part played by this latter individual in the present affair is not yet fully established, but evidence points to the fact that he accompanied KOSSOV as his personal bodyguard. He has remained in Shanghai and is being closely watched. (Note by S.I.S.: We are unable to identify MUROMTSEV, but it is perhaps worthy of notice, as he is connected with Insurance, that a man of this name, calling himself an agent of the Gosstrakh (State Insurance) arrived in Tabriz in the summer of 1930 and had long conferences with the Soviet Consul General.)

A photograph of KOSSOV is attached hereto and we should be grateful if you could let us have six copies of it. I.P.I. might also care for a copy, if you would pass one to them.

Copy to I.P.I.

E.D.T.
h.v.v.



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36a

Statement by NAIDIS
(PF 7 of 184)
Sept/Oct-1935

Treat with care -
very fragile.

On Her Majesty's Service

Open carefully—Reuse with Label
Code No. 27-25

138

4.

Sunday evening that, if we were successful and the change was arranged without suspicion, Walden was to take the place of Lemcke and we were to return to Shanghai with Walden, whom we were to deliver to 25, Avenue Petai, where some person living having extraterritorial rights would take charge of him. In the event of a mishap we were given instructions to proceed to the Soviet Embassy in Peking and ask for the Secretary, giving to him the password "Michael Fedrovitch". He would then supply us with Soviet Passports and funds making the necessary arrangements for us to go at once to Soviet Russia.

While in Hankow I received a letter from my wife in which stated that a man in a "Buick" had called and asked if she had news for the Soviet Representative who was sick. She pretended not to know what he meant and as he left she took the number of the licences. I received from the Soviet Representative 7,23,000. I do not know where he is at present but I think in Peking. He told me that he finishes a three year tour in October, and he is afraid. When we met in Hankow he was of being caught as he is known. He was a patient in the Hospital in Hankow for some time. His description is: 5' 8", weight 130 pounds, age 38-42. Slight build, light hair, thin parted and brushed over bald, thin nose, pointed chin, watery blue eyes, wears glasses, slowly and drags his feet. Speaks Russian but I never met him in the Settlement but he told me that in the French Concession there would be no allies ! !

Naidis admitted that he was concerned ~~in~~ with LEMCKE and
^{in the plot} BRINSON to release Walden from custody.

Copy of this statement
 PR 70,184.

SECRET

He stated: "I was born at Rotterdam, Holland in 1903, but my birth was not registered there being no birth registration then (sic) When an infant I was taken by my parents to Vladivostock, where I resided until I was twelve years of age, when I was taken by my father to Shanghai. There I attended the Public School for Boys until I was sixteen since when I have been employed, first as a type-writer salesman then as a motor car salesman. During the Sino-Japanese embroglio I worked on behalf of the Chinese Military, doing certain espionage work for which I was decorated by Marshal Chang Hsuh Liang. During November 1934 a person then unknown to me, called on me at my place of employment and asked me whether I could speak Russian. When I replied in the affirmative he asked me to undertake certain work for which I would be paid ¥.200 per month. This work was to obtain from clerks employed in the Japanese Military Headquarters and the Japanese Telegraph Administration the loan of files. I turned down the proposition as the money offered did not make it appear to be worth the time required.

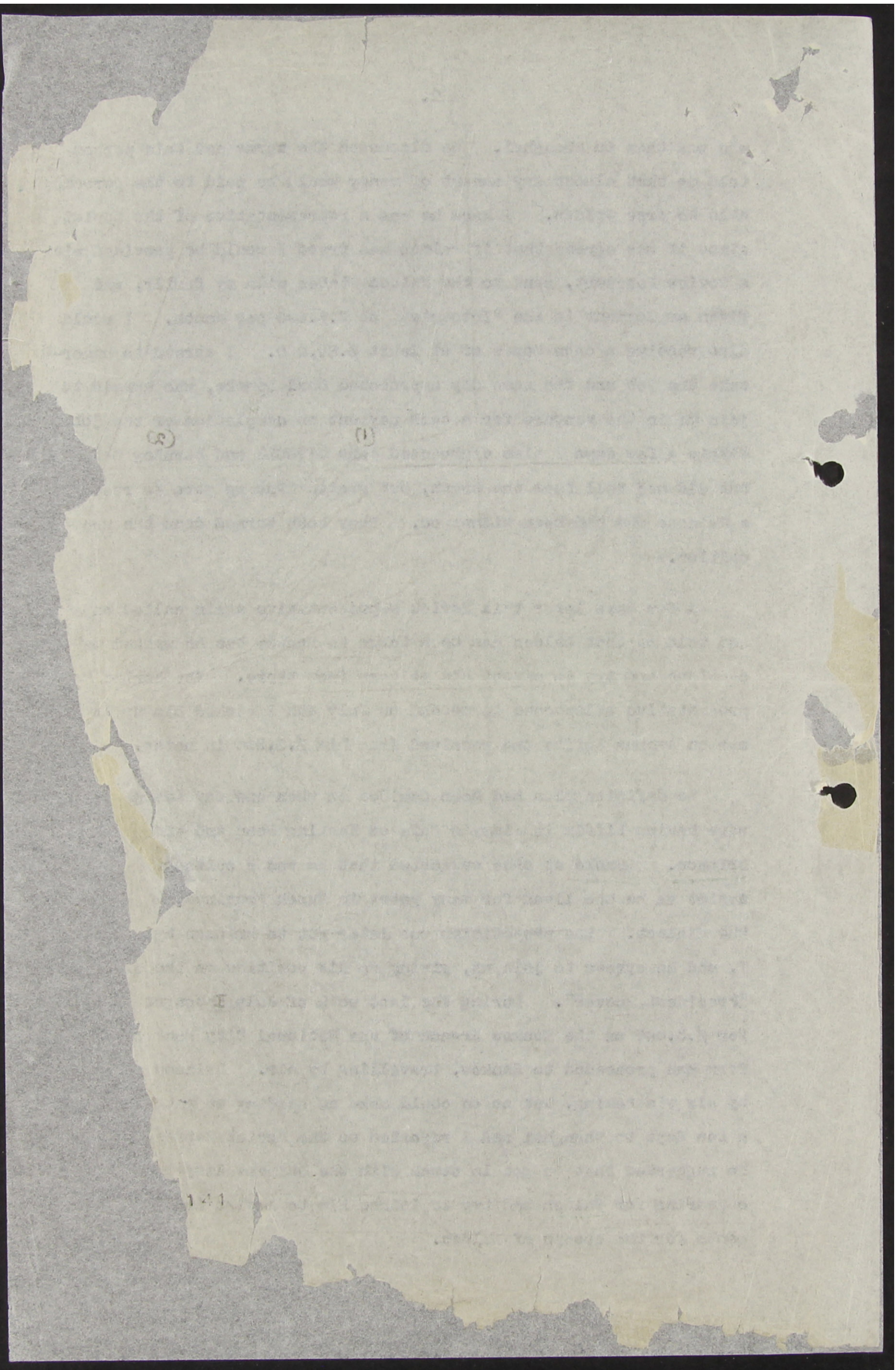
At 10. a.m. on June 14-17 this year this person called at my house at Route Mareska and asked me to undertake the rescue of Walden,

2.

who was then in Shanghai. We discussed the terms and this person told me that almost any amount of money would be paid to the person able to free Walden. I know he was a representative of the Soviet since it was agreed that if Walden was freed I would be provided with a Soviet Passport, sent to the United States with my family, and given employment in the "Intourist" at 6,000 per month. I would also receive a cash bonus of at least £20,000. I agreed to undertake the job and the same day approached Carl Lemcke, who agreed to join me in the venture for a cash payment on completion of the job. Within a few days I also approached ⁽¹⁾ John O'TOOLE and ⁽²⁾ Stanley GALT but did not tell them the truth, but stated that we were to rescue a Chinese who had been kidnapped. They both turned down the proposition.

A few days later this Soviet Representative again called on me and told me that Walden had been taken to Hankow but he wanted we continue and try to effect his release from there. The Soviet Representative telephoned to me and on July 4th I picked him up in my car on Avenue Joffre and received from him £3,500 in notes.

No definite plan had been decided on when one day Lemcke and I were having tiffin in Jimmy's Cafe on Hankow Road and there Brinson. Lemcke at once suggested that he was a suitable person to assist us as he had lived for many years in Hupeh Province and knew the dialect. The proposition was later put to Brinson by me, and he agreed to join us, giving up his position on the staff of "President Hoover". During the last week of July I bought a ticket for £3,000 on the Hankow Branch of the National City Bank of New York and proceeded to Hankow, travelling by air. Brinson followed me by air via Peking, but as we could make no headway we returned a few days to Shanghai and I reported to the Soviet Representative. He suggested that we get in touch with the Chinese lawyer appearing for Walden and try to induce him to assist in the arrangements for the escape of Walden.



3.

On August 6 or 8th I again met the Representative at the "Wonder Bar" Yu Yuen Road and he handed me 3,750,000.

About the end of July Lemcke and I considered the possibility of buying a plane from Pasche, having him pilot it and bring Walden back to Shanghai but we later changed our minds about the project. as Lemcke suggested that Brinson make arrangements that he, Lemcke, should take the place of Walden in prison; then he could be got out by the American Authorities after Walden was out of reach. Everything was arranged and Brinson paid the prison guards about 20,000. the receipts for which were found in my possession when I was arrested. I did not know Walden personally but we had a password known to him, given us by the Soviet Representative. This was "You are a good chess player". We actually received a note in German from Walden in prison which read "Received, Should meet Ida Sunday Morning 9. o'clock". This was given to Brinson by the Chinese whom he was working with about August 15th and was sent to my wife's pass to the Soviet Representative.

On the afternoon of August 23rd I was in my room at the Terminus Hotel, together with Lemcke, when the boy stated that a person giving the name "Koloff" wished to see me. I told him to bring the person up and it proved to be the Representative. He asked whether any progress had been made. I replied that more money was required at least 15,000. He stated that he had not that amount but made an arrangement that evening and when we met in a street near the hotel he handed me 15,000 in 5,000 notes all on the Russian ruble. He then told me that the balance would arrive in the morning following Tuesday, the 26th and that I was to meet him during the afternoon. It was then arranged that we should return and meet at my home on the 26th. Brinson returned to Hankow on the 24th. The Representative did not appear on Tuesday evening and was arrested at the Race Club on the 25th.

Secret.

In reply, state NUMBER and DATE.

dated 22.10.35.

M.I.5. (Captain Liddell)Communist Activities in Shanghai.
The unidentified foreign Communist.

With reference to our [redacted] dated 11.10.35.,
in which it was stated that the unidentified foreign
Communist in Shanghai was no other than the famous
(^{PF 42988} ABRAMOV) head of the O.M.S. at Moscow, -

Would you kindly note that we have now established
that the ABRAHAM or (ABRAMOV,) with whom Artur EVERT
^{PF 42988}
alias Harry BERGER definitely identified the photo of
the supposed "WALDEN", is an emissary of the Comintern
headquarters at Moscow of that name and not his more
famous namesake of the O.M.S.

E.D.T.

for V.V.

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34a

PF.44255/D.S.9.a.

14th October, 1935.

Dear V.V.,

Will you please refer to your [] of 11.10.35.
regarding Communist Activities in Shanghai.

The majority of the individuals mentioned are unknown
to us, the following traces, however, may be of interest.

PF 44690

[J.H.RABBINOWITZ] We have a record of one [Jacob (or Jack)
RABINOWITZ] who arrived in Shanghai in November
1927 from America, ostensibly as an agent of
a New York firm dealing in Chinese laces. He
is reported to have been much interested in the
activities of the Hindustan Association of
America and whilst in Shanghai was in touch
with members of the Sindhi Merchants Club and
showed strong sympathy with Indian revolutionary
aims. He left Shanghai for Seattle on 10.5.28.
but stated that he hoped to return shortly.

Dr.H.W.BAERENSPRUNG. May be identical with Horst BAERENSPRUNG
(your [] - described as a
Doctor of Law - who arrived in this country
on 31.10.33. in transit to Shanghai. He
left on 2.11.33., however for the Hook of
Holland.

SHRIRO. In 1928 a firm known as [SZ 67 Shriro Bros.] in Harbin, was
reported to be engaged in smuggling drugs in large
quantities into China, in consignments of textiles.
In view of his occupation it seems just possible that
he may be connected with this firm.

Yours sincerely,

(Sd) G.H.L.

Major V.Vivian, C.B.E.,
S.I.S.

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Form C/3—Sept., 1931.

Secret.In reply, state NUMBER and DATE ³²

dated 11.10.35.

M.I.5. (Captain Liddell)Communist Activities in Shanghai.
The unidentified foreign Communist.

In connexion with para. (2) of _____ dated 11.10.35., I think you ought to know that [Artur EWERT alias BERGER] at present the head of the South American Bureau of the Comintern in Rio de Janeiro

which seems to show that this unidentified foreign Communist under arrest in Shanghai at present is no other than the famous ^{PF 122,919} ABRAMOV, the head of the O.M.S. at Moscow. Surprising as this may seem, I think there is just a possibility of truth in it; at any rate, he must certainly be a very important person indeed to warrant such a determined attempt being made to rescue him by aeroplane.

It may be said that we know that ABRAMOV is still being ^{addressed} ~~used~~ in messages from the Communist Party here, but I imagine that the name may possibly have become generic. We know, too, of course, that ABRAMOV is sometimes addressed as "Old Man" and, again, the finding of a carbon imprint, in the room of the unidentified foreign Communist, of a letter beginning "Dear Old Man", might be taken ^{as implying} that ABRAMOV could not be identified with the foreign Communist, who presumably had typed those words. Here again, however, it may be that "Old Man" has become a generic term for the person in charge at any given moment of the O.M.S. in Moscow.

I am asking for further information in regard to the amplification of Artur EWERT's information, but, in the meanwhile, think you ought to have what so far has transpired.

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ACT 1958

Secret.In reply, state NUMBER and DATE ³²⁹

dated 11.10.35.

M.I.5. (Captain Liddell)Communist Activities in Shanghai.
The unidentified foreign Communist.

Reference correspondence on the above subject, ending with our
of 4.10.35.:

(1) Attached hereto (Enclosure A.) is a list of the documents found
in the flat of Dr. RIVOSH.

We have no trace of Mme. Fernard DEMNARD or of Mr. MARCUSE, re-
ferred to in items 3 and 4 of this list.

As regards items 5, 6 and 8, mentioning the name Denise GHIS-
LAIN, this woman was referred to in para.(2) of dated
10.9.35. It has been ascertained,

that Denise GHISLAIN arrived in that city on 28.5.34.
in the s.s. "Col de Lana" from Bombay. Accompanying her was a Mr.
A. YOVISHOV, holder of German passport No.10281, issued at Cologne
on 18.9.31., bearing visa for travel in China issued at Stockholm on
21.3.34. This man stated that he was a student and gave his home
address as 102 St. Eriksgaten, Stockholm. Since his arrival in
Shanghai, he has been in partnership with a Dr. H.W. BAERENSPRUNY
of No.368 Route de Sieyes, and [J.H. RABBINOWITZ of Room 302, 73 Nan-
king Road.] On their Passport Office Declaration Forms both Miss
GHISLAIN and Mr. YOVISHOV gave as their reason for visiting China to
"study Chinese native life".

(2) A search of Dr. RIVOSH's office at No.23. Yuen Ming Yuen Road
revealed little of importance except a sheet of carbon paper, only
used once, which was readily decypherable. A translation of this
is attached hereto (Enclosure B.). This, you will notice, is ad-
dressed "Dear Old Man", which may stand for the O.M.S. representative
in Shanghai. The reference in the letter to the conversation be-
tween Chiang Kai-shek and "the master of Edward" is not understood,
but efforts are being made to elucidate this. For the rest the
/letter

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letter gives a good indication of the calibre of Dr. RIVOSH and shows that he was a man of more than ordinary intelligence and grasp of his subject.

(3) With reference to our letter of 16.9.35., we are informed that one of the persons, arrested in the attempt to abduct RIVOSH, has been released, but the other three, NAIDIS, ^{PF 134,862.} LEMCKE and ^{PF 50,139.} BRINSON were being held in Hankow pending the arrival on 3.9.35. of the U.S. District Attorney from Shanghai.

^{PF 70,184.} Brian E. NAIDIS is reported to be a Russian Jew, whose father left Shanghai in 1925 for Moscow and, as far as is known, is still there. Brian NAIDIS was educated in Shanghai, speaks English, Chinese, Russian, French and German and has for several years been engaged in selling motor cars for local agents on a commission basis. About 5 years ago he married a Russian Jewess named ^{SHIRO} SHIRO, whose father is owner of the Rosa Vegetable Oil Factory, 941 Avenue Petain. The manager of this factory, one V.G. RUDAKOV, has for some time been suspected of being a Soviet agent. (Note. We have no trace of V.G. RUDAKOV in our records.)

Nothing, we are informed, has as yet come to light of any importance in connexion with LEMCKE or BRINSON, but a further report will be forwarded in due course.

Copy to I.P.I.

who may be able to make some enquiries in Bombay re Denise GHISLAIN and A. YOVISHOV.

For V.V.

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Enclosure A.Documents found in Dr. RIVOSH's flat.

1. A handwritten note by Mrs. RIVOSH in the French language, the translation of which reads: "I have put all your affairs in order. I love you and am waiting for you with confidence".
2. Visiting cards bearing the name "Dr. Phil. M. RIVOSH, 1562, Avenue Joffre, Lincoln Apartments, B3, Tel. 74975".
3. A visiting card bearing the name "Mme. Fernard DEMNARD", written in French on one side "My best wishes and thanks" and on the other "20958".
4. A postcard posted on board the "President Wilson" on 17th March and addressed to "Mr. MARCUSE, P.O. Box 752, Shanghai, China". On the side for correspondence on this card the following was written: "18-3. My congratulations for holiday".
5. An artistic essay "Art in Italy" published by Ente Nazionale Industrie Turistiche Ferrovie Dello Stato". On the fly leaf was written in pencil: "Denise Ghislain".
6. The following letter written in Chinese pen in the French language, of which the following is a translation:
 Dear Mademoiselle,
 'The Litteraire' has the honour to invite you on June 10 at 2 pm. to the exposition, No. 45 Avenue Victor Emmanuel III.
 (Signed)
 The envelope of this letter was inscribed:
 Ex Sun
 3, 68, Route Vallon,
 Mademoiselle D. Ghislain,
 Astor Hotel, Whampoo Road.
 The postmark: "Shanghai, 10.6.23.", that is to say June 10, 1934.
7. A copy of Chinese language review "Gni Fong" special edition, issued in connexion with the exposition mentioned above. The cover of this review bears the signature "Sun Fu-shi".
8. A small treatise published at Bombay in 1928 entitled "The Funeral Ceremonies of the Parsees". On the top of the fly leaf is written "Miss Denise Ghislain, Bombay, April 19, 1934".
9. Letter paper bearing the name "The Kyoto Hotel. Kyoto, Japan".
10. A tourist chart published in 1927 by the Japan Tourist Bureau bearing indications of a voyage to Japan. This document has been photographed.

Note. 'The Litteraire et artistique', an association of intellectuals and artists, meets at 45 Avenue Victor Emmanuel III, the office of the Chinese Society of Arts and Sciences. The letter bears the signature of Sun Fu-shi, one of the principal lights of "The Litteraire" and editor of a review of the same name.

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Enclosure B.

Translation of letter in Russian deciphered from an impression left on typewriter carbon paper.

Dear old man,

I will start my report this time with the same question regarding the policies of Ch. K. Sh. (Chiang Kai-shek?) to whom I devoted my previous report in June.

This time I prefer to discuss the problem of Ch. K. Sh's policy towards the U.S.S.R. - the problem which has remained real.

I will say beforehand that the development of events, as it looks to me, confirms the accuracy of basic estimation made by me of certain leanings of Ch.K.Sh. towards us. I don't see any necessity in changing anything in my previous report of June.

Half a year has already passed since a certain conversation between Ch.K.Sh. and the master of Edward - "Edward" - Dreager ??

Was there really any important political leaning in the exterior politics of Ch.K.Sh. - no; there was none, and it could not have happened because of that general political situation which the Nanking Government and its real master Ch.K.Sh. are supporting at present.

There could be nothing more wrong than to build political conclusions on the basis of analysis of one's own mind or sympathies of one or the other Chinese militarist or politician.

It does not matter that all those sympathies and feelings are absolutely indifferent to us or that they should be utilised at a certain moment, especially during the period of war with Japan; but the rather miserable and subordinate meaning of such feelings and sympathies must be foreseen and understood.

If we shall admit that Ch.K.Sh. personally and subjectively come to hate Japan and is more favourable towards us (I don't see any basis for such confirmation) then even in such case his policy ~~is~~ remains unavoidably the policy of pro-Japanese and capitalists.

It fundamentally remains that an anti-Japanese policy in China means a revolutionary and anti-capitalistic policy to which Chinese bankers and landlords together with Ch.K.Sh. are not prepared to subscribe.

It should be considered that this problem we must discuss in due course and there will be no doubt that in the event of war we will be able to utilise the services of even individual representatives of the bourgeoisie circles who hate communism, but who will be also very eager to harm the Japanese.

This question should be specially worked out, so that in case of war we can take full advantage of the situation to further our own ends.

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PF.44255/D.S.9.a.

5th October, 1935.

Dear Atherton,

Will you please refer to my letter 411/China/DS9a of 4.9.35. in which we informed you that the Soviet agent arrested in Shanghai had been identified as Dr. Maksim RIVOSH or RIVOSS.

We are now informed that this is not the case and that his identity remains unknown.

It appears that the papers in his possession in the name of Dr. Maksim RIVOSS are those of an individual of that name who now resides in Paris. Whilst in Berlin Dr. RIVOSS either lost or had his passport stolen from him.

Yours sincerely,

(sd) G. L. L.

for Colonel Sir Vernon Kell.

Ray Atherton Esq.,
United States Embassy.

✓ Hn
21/10/35

Secret.

30a
In reply, state NUMBER and DATE.

dated 4.10.35.

M.I.5. (Captain Liddell)

Communist Activities in China.

In continuation of correspondence regarding the arrest
of an unidentified foreign Communist in Shanghai on 5.5.35.
and with special reference to our [redacted] of 19.9.35., -

[redacted] to the effect that P.N. NEY
left for the U.S.S.R. on the 28th September.

E.D.T.

for V.V.

Copy to I.P.I.

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Form C/3—Sept., 1931.

Secret.In reply, state NUMBER and DATE. 296

dated 4.10.35.

M.I.5. (Captain Liddell)Communist Activities in Shanghai.
The unidentified foreign Communist.

Reference correspondence on the above subject, especially our letters of 2.9.35. and 17.9.35.:

Needless to say, the foreign Communist, arrested in Shanghai on 5.5.35. in possession of a passport in the name of Dr. Maksim RIVOSS, has been proved not to be identical with Maksim RIVOSS.

(a) Maksim RIVOSS, Latvian, born Ferbata, Latvia on 21.12.94., holder of Latvian passport No.006861E, issued by the Latvian Legation in Lithuania on 19.4.33. (valid until 18.4.34.), took up his residence at Kurfürstenstrasse 109, c/o Rüssbildt, Berlin, on 7.6.33., and remained there without a break until 7.12.33., when he went to Paris VII, Rue Jobbè Duval 15, from which address he still writes letters. He could not, therefore, have landed in Shanghai on 6.8.33.

(b) Whilst in Berlin, Dr. RIVOSS either lost his letter case containing his passport, or had it stolen from him. The exact details on this point are unknown.

(c) The photographs supplied by of the arrested Communist are not those of the man who lived in Kurfürstenstrasse under the name of Dr. RIVOSS.

(d) The handwriting of the Dr. RIVOSS, who lived in Kurfürstenstrasse, is not identical with that of the man who, in the name of Dr. RIVOSS, signed contracts to undertake the representation of certain Berlin firms in China.

In June 1933, an advertisement appeared in the "Berliner Tageblatt", announcing that a foreign merchant, resident in Berlin, was desirous of travelling to China for some length of time and was prepared to undertake the representation in China of any firms and to execute any business or single private orders. The firm "Adiator" of Berlin replied to this advertisement. DECLASSIFIED
4 JUN 1955

The individual, whose photographs were supplied by presented himself to Messrs. Adiator as Dr. RIVOSS and accepted a six months' trial representation for that firm. On the 15th January, 1934, the first letter containing an order was received by Messrs. Adiator from Dr. Rivoss; this letter bore the address:

/1562

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1562 Avenue Joffre,
Lincoln Appartement B.3. (or 133)

In March 1934 the firm again received a small order; that letter bore the address:

23 Juen Ming Juen Road 209.

Since then no further communication has been received by Messrs. Adiator from Dr. RIVOSS.

On 23.3.35. ^{Notice L. 258(132)} [Messrs. Singer, Berlin,] received a letter from Dr. RIVOSS from the following address:

23 Juen Ming Juen Road 209.

Since that date no further news has been received.

Money transactions were conducted through the Deutsch-Asiatische Bank in Berlin.

From the above, you will see that the man arrested in Shanghai would not appear to be identical with Dr. RIVOSS.

Copy to I.P.I.

E.D.T.

for V.V.

